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2876

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: October 7, 2003

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October 7, 2003

DOCKET NUMBER: 1515.104

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In re: U.S. Serial No. 10/052,405
Inventor: Frank J. Gangi
Title: **METHOD AND APPARATUS FOR ASSOCIATING
IDENTIFICATION AND PERSONAL DATA FOR MULTIPLE
MAGNETIC STRIPE CARDS OR OTHER SOURCES**

Enclosed please find:

- Supplemental Information Disclosure Statement (in duplicate);
- Form PTO/SB/08A;
- Twenty-five (25) prior art references; and
- Return post card

Respectfully submitted,

By: 
Jack D. Stone, Jr.
Reg. No. 38,324
Attorney for Applicant

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5956 Sherry Lane, Suite 1400
Dallas, Texas 75225
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Fax: (214) 706-4242



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

FRANK J. GANGI

Serial No.

10/052,405

Filing Date:

January 17, 2002

Group Art Unit:

2876

Examiner:

Pitts, Harold I.

Title:

METHOD AND APPARATUS FOR ASSOCIATING IDENTIFICATION
AND PERSONAL DATA FOR MULTIPLE MAGNETIC STRIPE
CARDS OR OTHER SOURCES

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Commissioner for Patents
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Diane Taylor

Typed or Printed Name

Signature

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98, and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449.

The Examiner is hereby authorized to charge the \$180 fee to Deposit Account No. 50-2032. A duplicate copy of this sheet is enclosed.

U.S. PATENT DOCUMENTS

<u>Pat/App. No.</u>	<u>Date</u>	<u>Inventor</u>
4,701,601	10/20/1987	Francini, et al.
5,585,787	12/17/1996	Wallerstein
4,868,376	09/19/1989	Lessin, et al.

5,276,311	01/04/1994	Hennige
4,705,211	11/10/1987	Honda, et al.
5,135,095	08/04/1992	Kocznar, et al.
5,095,196	03/10/1992	Miyata
4,837,422	06/06/1989	Dethloff, et al.
5,895,903	04/20/1999	Abe, et al.
6,293,462	09/25/2001	Gangi
6,131,811	10/17/2000	Gangi
6,402,029	06/11/2002	Gangi
RE36,365	11/02/1999	Levine, et al.
6,189,787	02/20/2001	Dorf

Foreign Patent Documents

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
98/10363	03/12/1998	WO
98/12675	03/26/1998	WO
0656600	06/07/1995	EP

Non-Patent Documents

Towitoko Electronics, "Product Overview Smartcard Terminals & Systems", February, 1998

Dr. Klaus Vedder and Dr. Franz Weikmann, Giesecke & Devrient, "Smart Cards – Requirements, Properties and Applications", 1998

"ActivCard", ActivCard, Inc., 1998

OKI Advanced Products, OKI America, Inc., "Value-CheckerTM CP", 1998

Logis Chipcard Products, "Logismart Chipcard Readers – Worth Your Attention", 1998

Setec Oy, "SetpurseTM", 1998

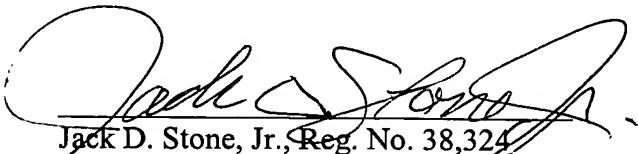
NURI Information & Communication Inc., "NURI Smart Card Solutions", 1998

Visa U.S.A., "Visa® Smart"

Applicant hereby expressly reserves the right to swear behind the effective date of the references and to question the relevance and materiality of the references cited, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

Date: Oct 7, 2003



Jack D. Stone, Jr., Reg. No. 38,324
ATTORNEY FOR APPLICANT

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031
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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/052,405
Filing Date	01/17/2002
First Named Inventor	Frank J. Gangi
Art Unit	2876
Examiner Name	Pitts, Harold I.
Attorney Docket Number	1515.104

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 4,701,601	10/20/1987	Francini, et al.	
		US- 5,585,787	12/17/1996	Wallerstein	
		US- 4,868,376	09/19/1989	Lessin, et al.	
		US- 5,276,311	01/04/1994	Hennige	
		US- 4,705,211	11/10/1987	Honda, et al.	
		US- 5,135,095	08/04/1992	Kocznar, et al.	
		US- 5,095,196	03/10/1992	Miyata	
		US- 4,837,422	06/06/1989	Dethloff, et al.	
		US- 5,895,903	04/20/1999	Abe, et al.	
		US- 6,293,462	09/25/2001	Gangi	
		US- 6,131,811	10/17/2000	Gangi	
		US- 6,402,029	06/11/2002	Gangi	
		US- RE36,365	11/02/1999	Levine, et al.	
		US- 6,189,787	02/20/2001	Dorf	
	US-				

FOREIGN PATENT DOCUMENTS

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 98/10363	03/12/1998			
		WO 98/12675	03/26/1998			
		EP 0656600	06/07/1995			

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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(Use as many sheets as necessary)

Sheet

2

of

2

Complte if Known	
Applicati n Numb r	10/052,405
Filing Dat	01/17/02
First Named Inventor	Gangi
Art Unit	2876
Examiner Name	Pitts, Harold I.
Attorney Docket Number	1515.104

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TOWITOKO ELECTRONICS, "Product Overview Smartcard Terminals & Systems", Feb	
		VEDDER, DR. KLAUS and DR. FRANZ WEIKMANN, "Smart Cards - Requirements, Properties and Applications", 1998	
		"ActivCard", ActivCard, Inc., 1998	
		"Value-Checker CP", OKI Advanced Products, OKI America, Inc., 1998	
		"Logismart Chipcard Readers - Worth Your Attention", Logis Chipcard Products, 1998	
		"Setpurse", Sectec Oy, 1998	
		"NURI Smart Card Solutions", NURI Information & Communication, Inc., 1998	
		"Visa Smart", Visa U.S.A.	

Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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